



FS VECTOR



Risk-Taking, Why It's Worth It

A conversation with counsel who have made the leap

JUNE 22, 2021 | 5 P.M.- 6:15 P.M. ET

Join ALLRISE DC and FS Vector for a panel discussion, "Risk-taking, Why It's Worth It: A conversation with counsel who have made the leap". Tahmineh "Tammy" Maloney, General Counsel of Katapult, will moderate a discussion with Sarah Elliott, General Counsel, Chief Compliance Officer, Corporate Secretary and founding team member of One, Meredith Fuchs, General Counsel of Plaid, and Katherine Carroll, Global Head of Policy at Stripe about navigating career transitions. Melissa Netram, formerly Chief Innovation Officer of the CFTC and now Partner, FS Vector, fresh off her own transition, will offer some opening observations.

AGENDA

Opening remarks: Melissa Netram and Amy Friend

Panel Discussion: Risk-taking, Why It's Worth It: A conversation with counsel who have made the leap

Moderator: Tahmineh "Tammy" Maloney

Panelists: Meredith Fuchs, Sarah Elliott and Katherine Carroll

Closing Remarks: Sally Miller



Sarah Elliott

General Counsel &
Chief Compliance
Officer, One



Katherine Carroll

Global Head of Policy,
Stripe



Meredith Fuchs

General Counsel, Plaid



Tammy Maloney

General Counsel &
Head of Compliance,
Katapult



Melissa Netram

Partner, FS Vector

MEET THE PANELISTS



Tahmineh "Tammy" Maloney is General Counsel and Head of Compliance for Katapult, an e-commerce focused fintech company. Tammy previously served as general counsel for E*TRADE Bank and E*TRADE Savings Bank and for a de novo bank in formation. Before joining E*TRADE Tammy held positions in the legal departments of the

Federal Deposit Insurance Corporation and the Office of the Comptroller of the Currency and as an associate in Hogan Lovells US LLP's financial services group. Upon graduating law school, Tammy clerked for the Honorable Lawrence M. Baskir, United States Court of Federal Claims. Tammy attended Georgetown University Law Center as a Dean's Scholar and received her undergraduate degree in the Humanities from New College of Florida.



Meredith Fuchs is General Counsel for Plaid, a technology company powering the fintech ecosystem. At Plaid, Meredith leads the company's legal and risk teams.

Throughout her career, Meredith has worked to improve how the

financial services industry serves consumers. She joined Plaid from Capital One, where she was a Senior Vice President, Chief Counsel, Regulatory Advisory, leading the firm's enterprise regulatory legal function. Prior to Capital One, Meredith was General Counsel and Acting Deputy Director at the Consumer Financial Protection Bureau (CFPB), after serving as Chief of Staff to CFPB Director Richard Cordray.

Meredith has also held roles as Chief Investigative Counsel of the U.S. House of Representatives Committee on Energy and Commerce, as Vice President and General Counsel at The National Security Archive at GWU, and as a partner at Wiley, Rein & Fielding.

She has served as an officer on the D.C. Bar Board of Governors, and is the recipient of the American Library Association's James Madison Award, and was named to the National Law Journal's list of 75 Outstanding Women Lawyers. She is a graduate of the New York University School of Law and the London School of Economics and Political Science.



Sarah is General Counsel, Chief Compliance Officer, Corporate Secretary, and founding team member at One, a digital banking platform integrating spending, saving, borrowing, and sharing in one account, all accessible with one card.

She also founded and runs the 94104 Exchange, a monthly forum for fintech lawyers and compliance professionals that facilitates "the unregulated exchange of ideas." She joined the board of Anchorage Digital Bank in May 2021.

Before starting One, Sarah was the first GC and CCO at Azlo, a bank-owned tech company providing banking services to small businesses, and the first lawyer and Head of Compliance at Blend, a digital platform providing banking products to banks and lenders. Before her move to San Francisco, Sarah was an attorney at the Office of the Comptroller of the Currency and Buckley law firm, and a Principal at Promontory Financial Group in Washington, DC. She also spent time on Capitol Hill working on House Financial Services Committee issues.

In a prior life, Sarah was an opera coach and played piano, keys, and accordion for operas, musicals, and a cover band.



Katherine Carroll is the Global Head of Policy and Government Affairs at Stripe. Prior to joining Stripe in February 2021, Katherine was a partner in the Financial Institutions Group at Cleary Gottlieb, where she practiced law for nearly two decades.

Her practice focused on advising banking organizations and fintech companies on U.S. bank regulatory matters, cybersecurity and privacy matters, and mergers and acquisitions.

Katherine worked in the U.S. Department of Defense under Presidents Clinton and Obama, most recently serving as Counselor to Secretary of Defense Ash Carter. She advised Secretary Carter on a broad range of issues including cybersecurity and related intelligence matters, the transfer of detainees from Guantanamo Bay, and lifting restrictions on service for transgender service members.

Prior to studying law, Katherine worked as the Executive Director of the Open Society Institute in Uzbekistan. She holds a J.D. from Yale Law School and an A.B. from Harvard College in Russian and Soviet Studies.

.



Melissa brings 20 years of financial services and fintech policy experience to FS Vector. She joins FS Vector from the US Commodity Futures Trading Commission (CFTC) where she served as the Commission's Chief

Innovation Officer and Director of LabCFTC. While at the CFTC, Melissa worked internally and with fellow regulators on promoting responsible innovation by advancing policy, facilitating dialogue between innovators and regulators and educating stakeholders on the advancements in innovation and technology in the ever-changing financial services industry.

Prior to the CFTC, Melissa worked for 9 ½ years in Intuit's Corporate Affairs department, responsible for working closely with the business teams to ensure the government strategy aligns closely with their business strategy. Melissa and her team focused on technology, cybersecurity, small business and financial services policy issues around the globe. She was one of the founding members of the Financial Innovation Now Coalition, one of the first fintech coalitions in the DC area.

Melissa received a J.D. from The Catholic University of America, Columbus School of Law and a Bachelor of Science in Business Administration from Villanova University. She is licensed to practice law in the State of Maryland.

ABOUT ALLRISE DC



ALLRISE DC is a Washington, D.C.-based organization working to lift women's careers in financial services through mentoring, networking, and education.

ALLRISE DC was founded by Amy Friend, Senior Advisor to FS Vector and former Chief Counsel of the Office of the Comptroller of the Currency, and Sally Miller, former CEO of the Institute for International Bankers.

Both Sally and Amy remain actively involved in financial services, including serving on boards of directors.

<https://www.ALLRISEDC.org>

ABOUT FS VECTOR



FS Vector is a Washington DC-based fintech advisory firm.

FS Vector advises clients on business strategy, regulatory, compliance, technology, and public policy matters. The firm's clients include fintech companies, banks, blockchain firms, regtech providers, and investors.

Learn more at www.fsvector.com or contact the team at info@fsvector.com.