

Organized and Moderated by:



Amy Friend

Former Chief Council of the OCC

Amy Friend was Senior Deputy Comptroller and Chief Counsel at the Office of the Comptroller of the Currency (OCC), a bureau of the US Department of Treasury that regulates and supervises the federal banking system, from February 2013 to November 2017. In that capacity, Amy served on the agency's executive committee and oversaw all of the agency's legal activities and licensing functions.

Amy led the team that developed the agency's strategic initiative on responsible innovation resulting in the establishment of the Office of Innovation and the special purpose national bank charter for fintech companies. Before taking on this role, Amy was a Managing Director at the Promontory Financial Group where she advised financial services companies about regulatory compliance and governance.

Previously Amy held a number of positions in the US Congress, including Chief Counsel to the U.S. Senate Committee on Banking, Housing, and Urban Affairs where she led the Committee's work in crafting responses to the financial crisis, including the Dodd-Frank Act. Amy also served as Assistant Chief Counsel to the OCC, focusing on consumer privacy, data security, and credit reporting, and as an associate in the law firms of Jenner and Block, and Brownstein, Zeidman, and Schomer.

Amy currently serves as a director of FinRegLab, a newly established non-profit organization that conducts research and tests new technologies and data to inform public policy and market practices with the goal of improving financial services and expanding access. Amy holds a BA from the University of Pennsylvania, and a JD from Georgetown University Law Center which honored her with a distinguished alumna award.



Sally Miller

Former CEO of the Institute of International Bankers

Until April 2018, Sally Miller was chief executive of the Institute of International Bankers (IIB), where she led efforts to resolve legislative, regulatory, tax and compliance issues confronting internationally headquartered financial institutions. Her seven plus year tenure spanned the boom in post-crisis financial regulation.

Prior to joining the IIB, Sally was a senior vice president of the American Bankers Association (ABA) and also served as the executive director and general counsel for the ABA Securities Association (ABASA), which was formed to provide a unified industry voice on Glass-Steagall Reform.

In addition to her work on the Gramm-Leach-Bliley Act, Sally led regulatory implementation efforts on behalf of the banking industry with respect to the Dodd-Frank Act, Sarbanes-Oxley Act, the American Recovery & Reinvestment Act of 2009 and other significant legislative initiatives.

From 2008-2011, Sally served as Chair of the American Bar Association's Banking Law Committee. From 2003-2010, she was a member of the Board of Directors of the International Securities Exchange, the first all-electronic options exchange. Before joining the ABA in 1989, Sally worked in the Division of Corporation Finance and the Office of the General Counsel at the Securities and Exchange Commission.

In 2012, Sally received the Frank Simpson III Award for Outstanding Contribution to the Banking Law Committee and in 2017, was the proud recipient of the Girls Inc. of NYC's "Strong, Smart and Bold Leadership Award." Based on her 30 years of experience working on banking and securities regulation, the International Financial Law Review (IFLR), in May 2018, presented Sally with its annual Contribution to Regulatory Reform Award.

Sally holds a B.A. from Boston University and a J.D. from American University's Washington College of Law.

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A Roundtable Discussion with Women Leaders

November 5, 2018 | 6:00 – 8:30 pm

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Norie Campbell

Group Head, Customer & Colleague Experience, TD Bank Group

As a member of the senior executive team, Norie is Group Head, Customer and Colleague Experience, TD Bank Group and leads the teams responsible for Human Resources, Marketing, Corporate & Public Affairs and Enterprise Projects. Norie joined the bank in 2000, and has held a number of high profile positions, most recently, leading a team of Legal, Compliance, Anti-Money Laundering, Financial Crimes and Fraud Management and Enterprise Project professionals.

She became General Counsel in 2011 and was named to the bank's Senior Executive Team in 2013. Norie has been awarded Canada's "Top 40, Under 40" distinction, has been recognized as one of Canada's Most Powerful Women by the Women's Executive Network, and was honoured by the Directors Roundtable with a World Recognition of Distinguished General Counsel award. Norie is the Chair of TD's enterprise-wide Inclusion & Diversity Leadership Council. Norie has served as co-chair of TD Bank Group's annual United Way Campaign. Prior to joining TD, Norie practiced business law at McCarthy Tétrault LLP. She obtained her LL.B and her LL.M. from Osgoode Hall Law School.



Diana Farrell

President & CEO, JPMorgan Chase Institute

She is the founding President and Chief Executive Officer of the JPMorgan Chase Institute. Previously, Diana was a Senior Partner at McKinsey & Company where she was the Global Head of the McKinsey Center for Government and the McKinsey Global Institute. Diana served in the White House as Deputy Director of the National Economic Council and Deputy Assistant to the President on Economic Policy for 2009 – 2010.

During her tenure, she led interagency processes and stakeholder management on a broad portfolio of economic and legislative initiatives. Diana coordinated policy development and stakeholder engagement for innovation and competition strategies broadly and led financial policy initiatives including the passage of major legislation. She also served as a member of the President's Auto Recovery Task Force.

Diana currently serves on the Board of Directors for eBay, The Urban Institute, and Washington International School. In addition, she is a Trustee Emeritus of Wesleyan University, a Trustee of the Trilateral Commission, and served as a Co-Chair of the World Economic Forum's Council on Economic Progress. Diana is a member of the Council on Foreign Relations, Economic Club of New York, Bretton Woods Committee, and Aspen Strategy Group. Diana holds a M.B.A. from Harvard Business School, and has a B.A. from Wesleyan University from where she was awarded a Distinguished Alumna award.



Mary John Miller

Former Under Secretary for Domestic Finance, U.S. Treasury and Director of Fixed Income, T. Rowe Price Associates

Mary John Miller served as the U.S. Treasury's Under Secretary for Domestic Finance from March 2012 to September 2014. She was responsible for Treasury debt management, fiscal operations, recovery from the financial crisis, and implementation of the Dodd-Frank financial reform legislation.

From February 2010 to March 2012, she served as Assistant Secretary for Financial Markets, where she was responsible for conducting Treasury auctions and monitoring all financial markets for the Treasury Secretary. She was confirmed by the U.S. Senate to serve in both positions. On her retirement from the Treasury she received the Alexander Hamilton Award for Distinguished Service. Prior to her public service, Ms. Miller spent 26 years in the investment management industry with the T. Rowe Price Group in Baltimore, MD. She was the Director of the Fixed Income Division from 2004 through 2009. She also served on the firm's Management Committee, Asset Allocation Committee, and as a trustee of the T. Rowe Price Foundation.

Ms. Miller currently serves as a director on the boards of the Silicon Valley Bank Financial Group (Santa Clara, CA) and The Jeffrey Company (Columbus, OH). From 2014 to 2018 she also served as a director of ICE Benchmark Administration in London. In addition, she is a trustee of Cornell University (Ithaca, NY) and The Urban Institute (Washington, DC), and a senior fellow at The Johns Hopkins University 21st Century Cities Initiative (Baltimore, MD).

Ms. Miller earned a B.A. from Cornell University and a Master of City and Regional Planning from the University of North Carolina at Chapel Hill. She is also a Chartered Financial Analyst.



Sarah Bloom Raskin

Former Deputy Secretary of the Treasury and Federal Reserve Board Governor

Sarah Bloom Raskin is the former Deputy Secretary of the U.S. Department of the Treasury, a position for which she was confirmed by the United States Senate, and served in from March of 2014 to January of 2017. Within the United States and throughout the international community, Deputy Secretary Raskin was a champion of cybersecurity in the financial sector, helping to elevate this critical issue to C-suites and boardrooms.

Her efforts, including leading the development of the G-7 Fundamental Elements of Cybersecurity for the Financial Sectors, contributed to a more secure and resilient financial sector in the face of increasingly frequent and sophisticated threats. As the second-in-command of the United States Treasury, Deputy Secretary Raskin oversaw the entire Treasury Department and its various agencies and departments. Deputy Secretary Raskin is known for her tireless pursuit of innovative solutions to enhance American's shared prosperity, the resilience of our country's critical financial infrastructure, and the defense of consumer safeguards in the financial marketplace.

Immediately prior to her confirmation as Deputy Secretary, Ms. Raskin served as a Governor of the Federal Reserve Board where she helped conduct the nation's monetary policy and promote financial stability. She was confirmed to the Federal Reserve by the United States Senate on September 30, 2010 and served until March 2014. Before joining the Federal Reserve Board, Ms. Raskin served as the Commissioner of Financial Regulation for the State of Maryland from 2007 to 2010. As Commissioner, she and her agency were responsible for regulating Maryland's financial institutions, including all state-chartered depository institutions, banks, credit unions, mortgage lenders, mortgage servicers, and trust companies, among others.

Throughout her career, Ms. Raskin has worked across public and private sectors in both legal and regulatory capacities. Her work has centered on financial institutions, financial market utilities, consumer protection issues, bolstered prudential standards, and resolution planning. Ms. Raskin's private sector experience includes having served as Managing Director at the Promontory Financial Group, General Counsel of the WorldWide Retail Exchange, and General Counsel of Columbia Energy Services Corporation. Earlier in her career she served as Banking Counsel for the United States Senate Committee on Banking, Housing, and Urban Affairs.

Ms. Raskin has been recognized by several organizations for her public service and has engaged with the media and myriad audiences to discuss fiscal, economic and national security issues. She has spoken for organizations and events including the National Consumer Law Center, the New America Foundation, the Levy Economics Institute, the National Association of Business Economics, the African Development Bank, the Private Equity Growth Capital Council, the Ditchley Foundation, the Society of Government Economists, the Mid-Winter Housing Finance Conference, the National Community Reinvestment Coalition, NeighborWorks America, several community banking groups, and numerous institutions of higher learning, among many others. Ms. Raskin received her B.A. in economics from Amherst College, and she received her J.D. from Harvard Law School.



Mary Schapiro

*Vice Chair for Global Public Policy and Special Advisor to the Founder and Chairman, Bloomberg L.P.
Former Chairman, Securities and Exchange Commission, Chairman of CFTC, and CEO of FINRA*

Ms. Schapiro's service as the 29th Chairman of the United States Securities and Exchange Commission (SEC) culminated decades of regulatory leadership. She was the first woman to serve as SEC Chairman, and the only person to have served as Chairman of both the SEC and the Commodity Futures Trading Commission (CFTC). During her four years as Chairman, Ms. Schapiro presided over one of the busiest rule-making agendas in the SEC's history, during which the agency also executed a comprehensive restructuring program to improve protections for investors and aggressively enforced the federal securities laws. Before becoming SEC Chairman, Ms. Schapiro served as CEO of the Financial Industry Regulatory Authority, Inc. (FINRA), the largest nongovernmental regulator of securities firms. Earlier, she was Chairman of the CFTC (1994-1996); a Commissioner of the SEC (1988-1994); and General Counsel of the Futures Industry Association. Currently, she serves as Vice Chairman of the Advisory Board of Promontory Financial Group.

Ms. Schapiro is a senior adviser at Bloomberg and is a member of the Boards of Directors the London Stock Exchange Group, Morgan Stanley and CVS Health. In addition, she serves as a member of the Governing Board of the Center for Audit Quality, a Trustee of Franklin and Marshall College, a member of the International Advisory Board of the China Securities Regulatory Commission and a member of the Board of the Washington-based Humane Rescue Alliance. She is Vice Chairman of the Sustainability Accounting Standards Board. She is a member of the Council on Foreign Relations. Ms. Schapiro holds a B.A. from Franklin & Marshall College and a J.D. from George Washington University Law School and honorary degrees from Georgetown University (McDonough School of Business), Muhlenberg College, George Washington University and Drexel University. In 2014, Ms. Schapiro was honored by the NCAA as part of its 40th anniversary of Division III, honoring 40 student athletes who have exemplified "proportion, comprehensive learning, passion, responsibility, sportsmanship and citizenship" throughout their careers.