

ALLRISE DC. When women succeed we all rise.

ALLRISE DC is a newly established organization working to lift women's careers in financial services through mentoring, networking, and education. ALLRISE DC was founded by Amy Friend, Senior Advisor to FS Vector and former Chief Counsel of the Office of the Comptroller of the Currency, and Sally Miller, former CEO of the Institute for International Bankers. They were inspired to act in response to their experiences leading women and appreciating the need for dialogue and mentorship, especially for mid-career women who drop out of the sector at an alarming rate and leave a void in the pipeline for women leaders.

ALLRISE DC hosts interactive panel discussions and speaker series on relevant topics, as well as breakfasts and happy hours where attendees at all levels of their careers can raise questions, seek guidance, and share advice in an informal setting and safe space. The organization's membership has grown organically over the last year and includes both women and men in financial services, from the government, financial services companies -- both traditional and fintechs—trade associations, law firms and consulting firms.

Amy Friend, Co-Founder ALLRISE DC and Moderator



Amy has over 25 years of experience shaping banking and consumer financial protection law and regulation. Amy was Senior Deputy Comptroller and Chief Counsel at the Office of the Comptroller of the Currency (OCC) from February 2013 to November 2017 where she served on the agency's executive committee and oversaw all of the agency's legal activities and licensing functions. Amy led the OCC's strategic initiative on responsible innovation resulting in the establishment of the Office of Innovation and the fintech national bank charter. Previously, she held a number of positions in the US Congress, including Chief Counsel to the Senate Committee on Banking, Housing, and Urban Affairs where she led the Committee's work in responding to the financial crisis, including the Dodd-Frank Act, and Minority General Counsel to the House Committee on Financial Services.

Amy currently serves as a senior advisor to FS Vector, an advisory firm that helps innovative financial companies with their compliance, business strategy and policy needs. She is on the board of directors for Varo Money, a challenger mobile bank, and FinRegLab, a newly established nonprofit that tests new technologies and data to inform policy and drive more inclusive financial services.

Sally Miller, Co-Founder ALLRISE DC



Until April 2018, Sally was the CEO of the Institute of International Bankers (IIB), a trade association representing international banks conducting business in the US. As CEO, Sally led the IIB's advocacy efforts regarding the banking, broker-dealer, tax and BSA/AML issues faced by the IIB's constituency. In her seven plus year tenure, Sally managed the surge in the volume and complexity of regulation. Sally also strategically restructured the IIB, successfully positioning the association for long-term growth and viability, in part by boosting the IIB's dues and program revenue by 44%.

Before joining the IIB, Sally served as Senior Vice President at the ABA where she led regulatory implementation efforts regarding wholesale banking, asset management and brokerage on behalf of the banking industry. Her work during this time focused on corporate governance and executive compensation issues impacting publicly-traded banks of all sizes. Sally also served as Executive Director and General Counsel of ABASA, the ABA's securities affiliate. As General Counsel and then Executive Director, Sally successfully led the banking industry's efforts to achieve common-sense reforms and functional regulation governing bank securities and mutual fund activities.

From 2003-2010, Sally was a member of the Board of Directors of the International Securities Exchange (ISE) and Chair of its Corporate Governance Committee. During her term, the ISE Board determined to take the company public, oversaw the implementation of the Sarbanes-Oxley Act mandates and other corporate governance and compensation best practices and later maximized shareholder return as a result of the Eurex acquisition.

In recognition of her work in financial services, Sally was appointed a three-year term as Chair of the American Bar Association's Banking Law Committee in 2008. In 2012, Sally received the Frank Simpson III Award for Outstanding Contribution to the Banking Law Committee and in 2017, was the proud recipient of the Girls Inc. of NYC's "Strong, Smart and Bold Leadership Award." Based on her experience on banking and securities regulation, the International Financial Law Review, in May 2018, presented Sally with its annual Contribution to Regulatory Reform Award.

Since July 2019, Sally has served as a member of the Board of Directors of Rox Financial, a real estate fintech company dedicated to bringing accessibility, transparency and liquidity to the single-property commercial real estate (CRE) market with an innovative NYSE / Nasdaq-listed security called ROX Exchange-Traded Properties, or ETPs.



Fintech Roundtable with Women Founders and Venture Investors

November 12th, 2019 6:00 - 8:00 PM

Thank you to our sponsors:

Arnold & Porter

FS VECTOR

Thank you to our host:

Arnold & Porter

Meet our panelists:



Ciara Burnham

Ciara Burnham is a partner with QED investors and a seasoned investor, operator and strategist with more than twenty years of experience building growth businesses in financial services.

Previously, Ciara was a Senior Managing Director with Evercore, the global investment bank, where she worked broadly across the firm's businesses. Ciara joined Evercore shortly after its inception, and played a significant leadership role in the build-out of the firm's presence on a global basis. Most recently, she served as the CEO of Evercore Trust, a nationally chartered trust bank with over \$50 billion of assets, where she led a turnaround of the business culminating in a successful sale of the institutional assets. Previously, she was responsible for Strategy and Corporate Development, leading initiatives which included launching new lines of business, executing acquisitions for the firm, raising capital, and developing the international platform. Additionally, Ciara advised large corporate clients in the investment banking advisory business and held leadership roles in the investment management segment. Previously, Ciara was an equity research analyst with Sanford C. Bernstein and a consultant with McKinsey.



Dale Nirvani Pfeifer

Dale Nirvani Pfeifer is on a mission to make payments a force for good. Her company Goodworld makes charitable giving on Facebook, Twitter and Instagram frictionless, viral and secure. Its payments technology is used by over 3500 of the World's top charities as well as large financial institutions such as Mastercard and PayPal. Goodworld was recently named one of the World's Most Innovative Companies by Fast Company magazine and was awarded a Timmy as DC's Best Tech Startup.

Dale was recently honored as New Zealand Woman of the Year (community category), 100 Most Powerful Women in DC by the Washingtonian magazine, and one of Washington Business Journal's "40 Under 40" business leaders.



Raj Date

Raj Date is the Managing Partner of Fenway Summer LLC, a Washington DC-based investment and advisory firm focused on early-stage financial services and fintech opportunities. He is the chair of the investment committee at Fenway Summer Ventures, a specialist Fintech venture capital firm, and he is the co-founder of FS Vector, an advisory firm that counsels Fintech companies on regulatory strategy, compliance, and public policy.

He serves as a Director for a number of innovative firms in financial services: Prosper, the marketplace lender; Better, the digital mortgage lender; College Ave, a private student lender; Green Dot, a technology-driven mass market bank; Grasshopper, a startup bank that serves the innovation economy; and Megalith, a special purpose acquisition company focused on Fintech opportunities.

For Raj, Fenway Summer is the latest chapter in a long and varied career in and around U.S. financial institutions — as a senior policymaker, as a bank executive, and on Wall Street.

Raj was the first-ever Deputy Director of the U.S. Consumer Financial Protection Bureau (CFPB). As the Bureau's second-ranking official, he helped steward the CFPB's strategy, its operations, and its policy agenda. He also served on the senior staff committee of the Financial Stability Oversight Council, and as a statutory deputy to the FDIC Board. Before being appointed Deputy Director, Raj acted as the interim leader of the new agency, serving as the Special Advisor to the Secretary of the Treasury. He led the CFPB for most of the first six months after its launch.

Before his time in public policy, Raj was a Managing Director in the Financial Institutions Group at Deutsche Bank Securities, where he led the firm's investment banking coverage for the largest U.S.-based banks and thrifts. Before that, Raj was Senior Vice President for Corporate Strategy and Development at Capital One Financial, where he led M&A development efforts across the U.S. banking and specialty finance markets. He began his business career in the financial institutions practice of the consulting firm McKinsey & Company. He has also served as an attorney in both private practice and government service.



Eva Pittas

Eva Pittas is Founder of Laika, a RegTech that helps emerging technology companies establish and maintain critical compliance programs to scale their businesses. Leveraging her decades of experience establishing and leading risk & compliance functions for large financial institutions as well as startups, Eva is dedicated to supporting responsible innovation and reinventing how security and compliance is implemented, managed and evidenced.

Prior to founding Laika, Eva was the Chief Risk Officer for a startup with an International Banking License and the CEO of a boutique consultancy helping startups stand-up and implement stage appropriate controls and successfully passing enterprise buyers due diligence processes.

Prior to becoming an entrepreneur, Eva was a Managing Director at Citigroup leading over 400 professionals across the compliance, risk and IT teams, managing large scale, global risk & control programs, dealing with global regulators, and notably leading the banks strategy in Third Party Vendor Risk Management.

Eva is a graduate of NYU's Stern School of Business and proudly serves on the Board of Directors, and is Chair of the Finance Committee, of Damian Family Care Centers, Inc., a Federally Qualified Health Center serving New York.